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Register Number:

DATE:

**ST. JOSEPH’S COLLEGE (AUTONOMOUS), BENGALURU-27**

B.COM- IV SEMESTER

FINAL EXAMINATION- APRIL-2017

BC 4215 - Financial Markets and Institutions

Time: 2 ½ Hours Max Marks: 70

Section-A

I. Answer any **FIVE** questions. Each question carries 2 marks. (2x5 = 10)

1. Write a note on Gilt funds

2. Give the meaning of IPO and Rights issue

3. Who is a Jobber?

4. What do you mean by venture capital?

5. Give the meaning of mutual funds.

6. Mention the advantages of ATM.

7. What is FDI?

Section- B

II. Answer any **THREE** questions. Each question carries 5 marks (3X5=15)

8. Highlight the advantages of Primary markets.

9. Explain the different types of speculators.

10. What are the advantages of Mutual funds? Explain

11. “*Plastic money is safer than paper money*” Comment

12. Differentiate between ADR and GDR.

Section- C

III. Answer any **THREE** questions. Each question carries 10 marks. (3x10=30)

13. Explain in detail the players in Secondary markets.

14. Explain the various Non-Banking financial intermediaries.

15. Explain the schemes of Mutual funds.

16. Highlight and discuss the recent trends in financial services.

17. Explain the advantages and disadvantages of FDI.

Section - D

IV. Answer the following **COMPULSORY** question.(1x15=15)

18. You are working in a Merchant Banking firm, as an issue manager. As an advisory service you are approached by CarpediaPvt Ltd which is *“going public*”. As part of the service, explain various listing guidelines to be followed as per SEBI regulations. Further highlight the procedure for issue of debentures for CarpediaPvt Ltd.